

## ADVISOR LICENSING FEES (rev. 5-17-2016)

**Initial Basic Registration Fee:** Blanket Fidelity bond coverage, registration with FINRA, electronic fingerprints and processing fee are **\$500.00**. \*An additional **\$100.00** will be assessed for filing fees if one chooses to be an Investment Advisor Representative with G.A. Repple & Company.  
(To be an Investment Advisor Representative, proper qualifications are needed.)

**\*Registered Representative State Fee:** This will be determined according to the specific states in which you choose to be securities licensed with G.A. Repple & Company.

**\* Investment Advisory State Fee:** Additional state fees will apply for the states in which you choose to be an Investment Advisor Representative with G.A. Repple & Company.

**\*E&O Fees:** This fee to each representative will be determined by the annual policy cost. A pro-rated amount will be calculated for representatives that join our firm after January, based on the months remaining in the policy year,

**\*Branch office Fee:** Standard FINRA fee is \$225.00. Additional fees may be assessed for state branch offices depending on the individual state requirements.

**Request for a Securities Exam:** First time representatives or established representatives requiring or seeking a securities exam must pay the appropriate fee required by FINRA for each exam.

**Applications Requiring Special Review of Information Fee:** \$110.00 - This may be necessary if there is an affirmative answer on the disclosure questions of your form U-4.

**Email Domain set up fee:** One time set up fee of \$75.00 for an email address with a private domain address.

**Tech Package:** All new Registered Representatives with G.A. Repple & Company must subscribe to our Tech Package which includes Streetscape, Laser App, Email and jPass Dashboard. The current monthly fee for the package is \$125.00. Additional, optional add-on features are available.

**Other Fees:** Other fees may be assessed if the need arises.

**Please contact our Licensing representative to discuss your applicable fees. Upon determining your fee requirements we will fax you an invoice detailing the fees that should be submitted with your registration paperwork.**

**\*Renewal license fees are assessed on a calendar year basis and will renew in advance. License renewals should be expected in November of each year.**

**Please make your check payable to: G. A. Repple & Company**